

**State of Iowa**  
**Request for Proposals RFP 909800-01**  
**Professional Design Services for:**  
**North Central Correctional Facility**  
**Stucco, Paving, and Tuckpointing**

The State of Iowa Department of Administrative Services (DAS) is seeking a firm to provide design, bidding, and construction administration services to repair stucco at Unit C building, replace and repair paving at inside perimeter road and sally port, and replace tuckpointing at Living Unit D building at the North Central Correctional Facility (NCCF). The intent of this RFP is to award three (3) 803 Contracts to a single design professional.

DAS Central Procurement, will receive emailed proposals (at the address below) until 2:00 pm, central time, January 30, 2020, for services related to the above project.

A non-mandatory pre-proposal meeting for the North Central Correctional Facility work will be held on January 8, 2020, starting at 10:00 a.m. The meeting will convene at the front building near 2740 Preston Avenue, Rockwell City, IA 50579 at NCCF.

Late proposals will not be considered.

For additional information please contact:

Bobbi Pulley  
Issuing Officer  
Iowa Department of Administrative Services - Central Procurement  
Hoover State Office Building, Level 3  
1305 East Walnut, Des Moines, IA 50319-0105  
Phone: 515/725-2893  
Email: [construction.procurement@iowa.gov](mailto:construction.procurement@iowa.gov)

## Section 1 – PROJECT

### 1.1 INTRODUCTION

The Iowa Department of Administrative Services (DAS) is seeking proposals from qualified and available Design companies for services, per RFP cover page, and as outlined in the following (Sections 1.2 - 1.3).

The successful proposal must:

- For the staff that will be assigned, identify and describe qualifications, experience, and expertise in providing services for similar, or relevant, projects.
- For the staff that will be assigned, provide a list of past similar or relevant projects completed in the last 5 years, and include brief descriptions of what the projects entailed and a contact name and phone number (reference). In addition provide estimated project cost, final project cost at acceptance, and whether it was completed on time.
- Describe the composition of your team. Identify staff to be assigned. Provide resumes of key individual(s) including education, relevant experience, and certifications/licensing.

**NOTE: Any responding company and/or consultant that is part of the project design services cannot receive an award from the resulting request for bid of construction services.**

- Describe the cost estimating, status reporting, and cost reporting procedures you utilize.
- Describe computer program/software capabilities and expertise you utilize. Please describe your experience.
- Provide a copy of your organizational chart.
- Describe your experience, if any, on designing similar or relevant projects for the State of Iowa.
- Provide the hourly rates, and anticipated hours by position, for all persons (including sub-consultants) that will be assigned to the project. Also provide an estimated fee total.
- Identify desired reimbursable charges (the State has limitations, per State of Iowa Accounting Policies and Procedures 210.245), and all other charges.

### 1.2 SCHEDULE

DAS is seeking a firm that can commence work upon execution of a contract. Time is of the essence. Services will start immediately following the Solicitation and Notice of Intent to Award process.

#### RFP Schedule

- |                                     |                             |
|-------------------------------------|-----------------------------|
| • Pre-Proposal Meeting              | January 8, 2020 at 10:00 am |
| • Questions Due to Purchasing Agent | January 15, 2020 by 2:00 pm |
| • Addendum Issued By                | January 22, 2020            |
| • Proposals Due By                  | January 30, 2020 by 2:00 pm |
| • Selection of Designer/Issue NOIA  | February 4, 2020            |

#### Design & Construction Schedule

- |                                     |                          |
|-------------------------------------|--------------------------|
| • Tentative Kickoff Meeting:        | February 25, 2020        |
| • 50% Construction Documents by     | March 10, 2020           |
| • 95% Construction Documents by     | March 24, 2020           |
| • 100% Construction Documents by    | March 31, 2020           |
| • Bidding & Award Phase:            | April 2 – April 27, 2020 |
| • Submittals, Procurement, & Const. | May – September 2020     |

### 1.3 PROJECT DESCRIPTION

The intent of this RFP is to hire one firm for three distinct and separate projects located at the North Central Correctional Facility. The intent is to issue three separate contracts to the same firm.

#### **DAS Project #9098.00 - NCCF Unit C Stucco Repair**

- The intent is to repair damaged and deteriorated stucco on the exterior southwest facing corner and potentially other areas on this building.
- Design professional will be responsible for determining scope of repairs and appropriate reconstruction, coordinated with existing conditions.
- Hazardous material surveys and remediation will be handled separately from the design professional.

#### **DAS Project #9146.00 - NCCF Inside Perimeter Road & Sally Port Pavement**

- The intent of the inside perimeter road is to replace damaged and deteriorated asphalt paving with new asphalt paving. Designer will be responsible for determining areas with highest need of repairs and to designate non-critical areas as add alternates.
- Sally port area paving replacement intent is to replace damaged and deteriorated asphalt paving with a more robust system that can withstand heavy semi-truck loads. Work to be coordinated around existing fencing, gates, and guard shack including electrical and utilities located in this area.
- Provide an alternate for a temporary entrance and gate system while sally port entrance is closed for construction.
- Hazardous material surveys and remediation will be handled separately from the Design Professional.

#### **DAS Project #9147.00 - Living Unit D Tuckpointing**

- The intent is to repair damaged and deteriorated tuckpointing on exterior of building.
- Replace damaged block and brick as needed.
- Hazardous material surveys and remediation will be handled separately from the Design Professional.

The scope of work shall include:

- Design disciplines necessary to complete the scope of work.
- Field examination of the existing buildings.
- Material recommendations based on experience, quality, and price.
- Construction drawings, specifications (the Construction Manager will produce Divisions 00 and 01), and addenda.
- Construction administration, including responses to submittals, RFI's, proposal requests, change orders, pay applications, certificates of substantial completion, and certificates of final completion within the EADOC project management system.
- Site visits as follows: one (1) design kickoff meeting, one (1) 95% construction document review meeting, one (1) pre-bid meeting, one (1) preconstruction meeting, two (2) construction inspection visits, one (1) substantial completion walkthrough, one (1) final completion walkthrough. Beyond these site visits, each proposing firm shall include additional visits as they see fit to complete the work of the design. (Note that construction, substantial/final completion, etc. related visits shall be separate for each project as construction may be bid as separate projects).
- Conference call to be held at 50% and 100% design.
- Construction cost opinion per Iowa Code.
- Quality control during design, preconstruction, and construction phases.
- Design and completion of State building and energy code documents.
- Review of the project with the State Fire Marshal's office, or obtain certificate of exemption from full plan review.

- Designer shall acknowledge that all documents are copyright to the State of Iowa and shall be turned over to the State of Iowa in their native computer format within EADOC.
- Upload final design documents to DAS' online project management system in their native format. (CAD/PDF)
- Design shall satisfy all Federal, State, and Local codes.
- The Department requests lump sum pricing from the respondents to this RFP for the base scope, with the lump sum base scope price being inclusive of mileage and travel expenses.
  - The Department requests that the lump sum base scope price be broken down as follows. These breakdown prices will be used only as the schedule of values for billing purposes.
    - Design and Construction Documents
    - Bidding Phase
    - Construction Administration Phase
- In addition to the lump sum pricing, the Department requests a unit price per construction inspection visit. This unit price will be additive or deductive based on the number of actual visits made.

#### **1.4 ATTACHMENTS**

- Sample Agreement

## **Section 2 – ADMINISTRATIVE ISSUES**

### **2.1 GENERAL INFORMATION**

- 2.1.1 DAS will evaluate the qualifications, experience, and other relevant information from companies interested in contracting with the State of Iowa to provide the necessary services to complete the project described in this RFP.
- 2.1.2 Companies certified as Targeted Small Businesses are encouraged to submit Proposals. The Iowa Department of Economic Development administers the Targeted Small Business (TSB) Program. Businesses meeting the requirements of the program are approved and registered with the Department of Economic Development and are considered Targeted Small Businesses for purposes of this RFP and most other solicitations issued by DAS. Questions concerning the TSB Program and for identification of companies certified as Targeted Small Businesses, contact the TSB Certification office in the Department of Economic Development at (515) 348-6159.

### **2.2 INQUIRIES**

- 2.2.1 All inquiries concerning this RFP shall reference the RFP number and shall be provided (via email) to the issuing officer email address identified on the cover page of this RFP. Addenda type questions must be submitted per Schedule, Section 1.2.
- 2.2.2 Any information provided by prospective companies orally shall not be considered part of the companies Proposal.
- 2.2.3 DAS assumes no responsibility for representations concerning conditions made by its officers or employees prior to the execution of a contract. Oral discussions pertaining to modifications or clarifications of this RFP shall not be considered part of this RFP and are not binding.

### **2.3 PREPARATION OF THE PROPOSAL**

- 2.3.1 **Proposals may be emailed to the Issuing Officer at the email address identified on the cover page of this RFP.** Prospective companies are solely responsible for timely delivery.

### **2.4 DATE, TIME AND PLACE TO SUBMIT PROPOSALS**

- 2.4.1 As stated above the proposal may be emailed.
- 2.4.2 The email subject line should include the following information:  
  
**RFP909800-01**  
**NCCF Stucco, Paving, and Tuckpointing**
- 2.4.3 The Proposal must be received by DAS – Central Procurement, on or before 2:00 pm, central time on the Proposal due date.

### **2.5 ECONOMY OF PRESENTATION**

Proposals shall address the specific RFP requirements. All questions posed by the RFP shall be answered clearly and concisely.

### **2.6 RFP CHANGES AND ADDENDA**

Written Addenda will serve to amend the RFP documents accordingly.

## **2.7 CERTIFICATION OF INDEPENDENT PRICE DETERMINATION**

By submission of a response to this Proposal, the Company certifies, and in the case of a joint Proposal, each party thereto certifies as to its own organization, that in connection with this procurement:

- A. Any prices or hourly rates in this Proposal have been arrived at independently, without consultation, communication, or agreement, for the purpose of restricting competition, as to any matter relating to such prices with any competitor.
- B. Unless otherwise required by law, any prices or hourly rates which have been provided in this Proposal shall not knowingly be disclosed by the Firm, directly or indirectly, to any competitor prior to the notice of intent to award a contract for services.
- C. No attempt has been made or shall be made by the Company to induce any other person or Company to submit or not to submit a Proposal for the purpose of restricting competition.
- D. Each person signing this Proposal certifies that:
  - 1. He/she is the person in the Firm's organization responsible within that organization for the decision as to any prices being offered herein, or
  - 2. He/she is not the person in the Firm's organization responsible within that organization for the decision as to any prices being offered herein, but that he/she has been authorized in writing to act as agent for the persons responsible for such decision, and
  - 3. Any offer made by the submitted Proposal and any clarifications to that Proposal shall be signed by an officer of the offering Company or a designated agent empowered to bind the Company in a contract.

## **2.8 NOTICE OF INTENT TO AWARD**

After the successful Company has been selected, a copy of the *Notice of Intent to Award* will be issued to all Companies who submitted Proposals in response to this RFP.

## **2.9 WITHDRAWAL OF PROPOSALS**

Prospective Companies may withdraw, modify, and/or resubmit at any time prior to the date and time set for the receipt of Proposals. Once the time set for receipt of Proposals has passed, a Company shall not withdraw a Proposal for a period of sixty (60) days following the issuance of the Notice of Intent to Award a contract. Proposals shall remain open and valid for consideration by DAS throughout this period of sixty days, and until such time thereafter that written request to withdraw a Proposal is received by DAS.

## **2.10 DISPOSITION OF PROPOSALS**

All Proposals become the property of DAS and disposition of the Proposals shall be at the sole discretion of DAS.

## **2.11 DISCLOSURE OF PROPOSAL CONTENT**

Proposals will be placed in the public domain and be available for examination by interested parties. No Proposals shall be disclosed until after a *Notice of Intent to Award* has been issued. DAS reserves the right to destroy all Proposals if the RFP is withdrawn or otherwise in the normal course of business. Trade secrets or proprietary information legally recognized as such and protected by law may be withheld if they are clearly and conspicuously labeled "Proprietary" in the margin of each individual page where they appear in the Proposal. Pricing information is not normally considered proprietary.

Public Records and Requests for Confidential Treatment.

The Agency's release of public records is governed by Iowa Code chapter 22. Contractors are encouraged to familiarize themselves with Chapter 22 before submitting a Proposal. The Agency will copy and produce public records upon request as required to comply with Chapter 22 and will treat all information submitted by a Contractor as non-confidential records unless Contractor requests specific parts of the Proposal be treated as confidential at the time of the submission as set forth herein **AND the information is confidential under Iowa or other applicable law.**

**Failure to request information be treated as confidential as specified herein shall relieve Agency and State personnel from any responsibility for maintaining the information in confidence. Contractors may not request confidential treatment with respect to pricing information and transmittal letters. A contractor's request for confidentiality that does not comply with this section or a contractor's request for confidentiality on information or material that cannot be held in confidence as set forth herein are grounds for rejecting contractor's Proposal as non-responsive. Requests to maintain an entire Proposal as confidential will be rejected as non-responsive.**

If Agency receives a request for information that Contractor has marked as confidential and if a judicial or administrative proceeding is initiated to compel the release of such material, Contractor shall, at its sole expense, appear in such action and defend its request for confidentiality. If Contractor fails to do so, Agency may release the information or material with or without providing advance notice to Contractor and with or without affording Contractor the opportunity to obtain an order restraining its release from a court possessing competent jurisdiction. Additionally, if Contractor fails to comply with the request process set forth herein, if Contractor's request for confidentiality is unreasonable, or if Contractor rescinds its request for confidential treatment, Agency may release such information or material with or without providing advance notice to Contractor and with or without affording Contractor the opportunity to obtain an order restraining its release from a court possessing competent jurisdiction.

## **2.12 PROPOSAL EVALUATION AND AWARD**

The contract shall be awarded to the Company determined to be the best qualified to provide the services required under this RFP and the best value to the State.

## **2.13 GRATUITIES**

The laws of Iowa provide that it is a felony to offer, promise, or give anything of value or benefit to a State employee with the intent to influence that employee's acts, opinions and judgment or exercise the discretion with respect to that employee's duties. Evidence of violations of this statute will be turned over to the proper prosecuting attorney.

Note: The State provides reimbursement to its employees for their transportation, lodging, meals, and miscellaneous expenses that are deemed necessary.

## **2.14 CONFLICTS BETWEEN TERMS**

DAS reserves the right to accept or reject any exception taken by a prospective Company to the terms and conditions of this RFP. Should a prospective Company take exception to the terms and conditions required by DAS, the Firm's exceptions may be rejected and the entire Proposal declared non-responsive. DAS may elect to negotiate with the Company regarding contract terms or the contents of the Firm's Proposal.

## **2.15 IOWA STATUTES AND RULES**

The terms and conditions of this RFP, the resulting contract, or activities based upon this RFP shall be construed in accordance with the laws of Iowa.

## **2.16 COSTS FOR PREPARATION OF PROPOSALS**

No payments will be made to cover costs incurred by any Company in the preparation or the submission of this RFP, nor for any other associated costs.

## **2.17 NEWS RELEASES**

News releases or other materials made available to the public, the Firm's clients, or potential clients pertaining to this procurement or any part of the Proposal shall not be made without prior written approval from DAS.

## **2.18 MISCELLANEOUS**

- 2.18.1 DAS reserves the right to accept or reject any part of any Proposal, and to accept or reject any or all Proposals without penalty.
- 2.18.2 DAS reserves the right to waive minor deficiencies and informalities if, in the judgment of DAS, the best interests of the State of Iowa will be served.
- 2.18.3 DAS reserves the right to make a written request for additional information from a Company to assist in understanding or clarifying a Proposal. Any information received shall not be considered in the evaluation of the Firm's Proposal if it materially alters the content of said Proposal.



## Section 3 – CONTRACT TERMS AND CONDITIONS

### 3.1 ELEMENTS OF CONTRACT

- 3.1.1 No contract relationship is created or implied by DAS from the acceptance of a proposal or an interview with a company in response to this RFP.
- 3.1.2 No contract relationship is created or implied by DAS from the acceptance of a proposal or an interview with a company in response to this RFP.
- 3.1.3 The proposed form of contract between the Company and the State will be a revised Consensus Doc 803 (sample attached), which will be modified to include the following:
  - a. Incorporation, by reference, of this Request for Proposal and subsequent addenda and the Proposal submitted by the successful Firm in response to this RFP.
  - b. Professional liability insurance in the amount of \$2 million will be required.
  - c. The proposed project fee, start dates, and scheduling of the selected Firm's services shall be established during negotiations.
  - d. *Iowa Code* Section 8.47, The Accountable Government Act, requires that the terms and conditions of service contracts shall include the following:
    - (1) The amount or basis for paying consideration to the party based on the party's performance under the service contract.
    - (2) Methods to effectively oversee the party's compliance with the service contract.
    - (3) Methods to effectively review performance of a service contract.
  - e. Other terms, mutually agreeable to the State and the Firm, may be developed during negotiations with the selected Firm.

Other contract forms, as mutually agreeable, may be utilized as appropriate for additional services directly associated with this project.

- 3.1.4 This RFP does NOT establish a statewide contract.

## **Section 4 –REQUIREMENTS**

All services to be provided by the Firm shall take into account the following assumptions:

### **4.1 MINIMUM FIRM QUALIFICATIONS**

- 4.1.1 Firms, other than Sole Proprietorships and General Partnerships, shall be registered with the Office of the Iowa Secretary of State.
- 4.1.2 The selected Firm shall have sufficient, qualified staff to deliver the services needed. Per Chapter 26 of the Iowa Code regarding construction bids: A governmental entity shall have an engineer licensed under chapter 542B, a landscape architect licensed under chapter 544B, or an architect registered under chapter 544A prepare plans and specifications, and calculate the estimated total cost of a proposed public improvement.
- 4.1.3 The selected Firm shall have the resources and capabilities and the commitment to complete the required work in an efficient and timely manner, within the time period specified/negotiated.
- 4.1.4 DAS reserves the right to require proof of a submitting Firm's financial stability.
- 4.1.5 Failure to adhere to these instructions may be grounds for a Firm's Proposal to be found non-compliant with requirements of this RFP, and may be cause for rejection of the Proposal.

### **4.2 PROPOSAL CONTENT**

Please do not exceed 10 MB on the file size of your proposal. The Proposal shall consist of the following elements in the order given below, and shall be limited to thirty (30) single pages or less, not including dividers, cover page, or resumes:

- A. Letter of Transmittal/Statement of Interest including understanding and compliance with all requirements in this RFP (note section 4), email address for contact person, and acknowledgment of any addenda.
- B. Executive Summary of the Proposal.
- C. Response to all things in Sections 1 (1.1-1.3) and Section 4.
- D. Company information regarding Organizational Stability, and Financial Strength (or provide Bank or Accountant reference).
- E. Overview and Discussion of Offered Services including Approach and Methods (reference Section 1).
- F. Estimated fee total, hourly rates, and anticipated hours by position per Section 1.1(8).

## **Section 5 – PROPOSAL EVALUATION, SELECTION, AND AWARD**

### **5.1 EVALUATION PROCEDURES**

- 5.1.1 Proposal packages will be opened by the Issuing Officer and the names of all Companies who submitted Proposals will be released upon request.
- 5.1.2 The Issuing Officer will review the proposals for compliance with the RFP instructions/requirements.
- 5.1.3 The Issuing Officer will retain non-compliant Proposals.
- 5.1.4 Copies of proposals determined by the Issuing Officer to be compliant with the RFP will be evaluated.
- 5.1.5 Evaluation criteria is shown in 5.2.2
- 5.1.6 All answers provided to the questions asked in this RFP are subject to verification. Misleading answers shall be grounds for disqualification at any stage in the procurement process.
- 5.1.7 DAS reserves the right to make a written request for additional information from a prospective Company to assist in understanding or clarifying a Proposal.

### **5.2 SELECTION PROCEDURES**

- 5.2.1 A Selection Committee will be formed to evaluate all compliant proposals. The committee's size and membership will be determined at the sole discretion of DAS.
- 5.2.2 Criteria for evaluating the proposals:
  - a. Qualifications (experience and expertise of staff assigned for similar projects), firm's capabilities and financial stability.
  - b. Approach and Proposed Methods.
  - c. The Firm's proposed schedule with respect to the State's needs.
  - d. Stipulated Fee, hourly rates, and anticipated hours by position per Section 1.1(8).

### **5.3 AWARD OF CONTRACT**

- 5.3.1 After selection, DAS will meet with the Firm for the purpose of negotiating an Agreement that is acceptable to both parties. In the event that the parties do not achieve an acceptable agreement, DAS reserves the right, at its sole discretion, to negotiate with other RFP respondents.
- 5.3.2 Should the above process not result in a contract, DAS will re-evaluate relevant issues and take appropriate follow-up action.

\*\*\*\* END OF RFP \*\*\*\*